

## **METHOD AND SYSTEM FOR ACCESSING A FILE**

### **FIELD OF THE INVENTION**

The present invention relates generally to computer systems, and more particularly to accessing files.

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### **BACKGROUND**

In the computer field there are two major approaches for handling file accesses: stateless and state-full. In the stateless approach, the information needed to access a file is sent with each file access request. In the state-full approach, a file is opened with certain attributes such as read only, locked, shared, and the like, and a handle is returned to the opened file. In each subsequent request for access to the file, the client sends the received handle together with any access request (e.g., read request, write request, or request to modify one or more attributes). The file system uses the handle to locate state regarding the file and processes the request.

Each approach to handling file accesses has advantages and disadvantages. In the stateless approach, a great deal of information is typically passed with each request. With each request, a client may be required to send data that identifies

the file together with information that authenticates the client. A server receiving a request for a file access from the client may be required to authenticate the client and determine whether the client has rights to access the file in 5 the manner requested. If a client frequently accesses a file to read or write small chunks of data, considerable overhead may occur for the client, the network or networks over which the access request passes, and a server servicing the file access request. In addition, a client may be required to keep 10 track of where in the file the next read or write should occur. In stateless file accesses, a client is typically not allowed to lock a file for the client's exclusive use, as this requires state.

In the state-full approach, there are problems associated 15 with what to do with an open file if a client crashes. A file that is opened and locked by a client that crashes may remain locked until a system administrator or other entity having authority over the file determines that the lock should be released. If a file lock is released and the client has not 20 crashed but instead is just taking a long time to make its next file access request, this may cause errors on the client and/or consistency problems with the file.

Alternatively, or in addition, upon a client crash or connection disruption, a file may be closed and some state information associated with the file may be disposed of. When the file is re-opened, this state information may need to be 5 reconstructed, resulting in extra processing and overhead.

What is needed is a method and system to provide fast state-full access to files while avoiding the disadvantages associated with state-full access.

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#### SUMMARY

Briefly, the present invention provides a method and system for providing state-full access to files and for resuming access should a connection be broken. In one aspect of the invention, a resume key is returned to the client that 15 allows the client to request a duplicate handle to an open file. The duplicate handle can be used to access the file in the same manner as the handle used to open the file. When a connection is broken, the file remains open on the server for a period of time and the state information associated with the 20 file is maintained. If a request for a duplicate handle together with a resume key is received in time, the duplicate handle is returned to the client. The client may then use the

duplicate handle to access the file as if the connection had never been broken. In essence, this provides a persistent handle to an open file.

In addition, even if a connection is not broken, a client 5 may request one or more duplicate handles and establish other channels (also known as connections) with which to access the file. A duplicate handle may be set up to provide a fast path for reads and writes (e.g., over a channel that is optimized for reads and writes). Then, the client may access the file 10 over any established channel using the appropriate handle.

Other advantages will become apparent from the following detailed description when taken in conjunction with the drawings, in which:

15 BRIEF DESCRIPTION OF THE DRAWINGS

FIGURE 1 is a block diagram representing a computer system into which the present invention may be incorporated;

FIG. 2 is a block diagram representing a system in which a client accesses a file on a server through two communication 20 channels in accordance with an aspect of the invention;

FIG. 3 is a block diagram representing a system in which a client accesses a file on a server through two channels and

in which the client and the server reside on the same machine  
in accordance with an aspect of the invention;

FIG. 4 is a block diagram representing states of one  
system operating in accordance with an aspect of the  
5 invention;

FIG. 5 is a block diagram representing a system used to  
illustrate examples of the states referenced in FIG. 4  
according to an aspect of the invention;

FIG. 6 is a block diagram representing a data structure  
10 that may be used for the resume key according to an aspect of  
the invention;

FIG. 7 is a block diagram representing a system  
configured to operate in a server cluster environment in  
accordance with an aspect of the invention;

15 FIG. 8 is a block diagram representing a system  
configured to operate in a distributed file system environment  
in accordance with an aspect of the invention; and

FIG. 9 is a dataflow diagram that generally represents  
exemplary steps that may occur when using a resuming key, in  
20 accordance with aspects of the present invention.

## **DETAILED DESCRIPTION**

### **EXEMPLARY OPERATING ENVIRONMENT**

Figure 1 illustrates an example of a suitable computing system environment 100 on which the invention may be implemented. The computing system environment 100 is only one example of a suitable computing environment and is not intended to suggest any limitation as to the scope of use or functionality of the invention. Neither should the computing environment 100 be interpreted as having any dependency or requirement relating to any one or combination of components illustrated in the exemplary operating environment 100.

The invention is operational with numerous other general purpose or special purpose computing system environments or configurations. Examples of well known computing systems, environments, and/or configurations that may be suitable for use with the invention include, but are not limited to, personal computers, server computers, hand-held or laptop devices, multiprocessor systems, microcontroller-based systems, set top boxes, programmable consumer electronics, network PCs, minicomputers, mainframe computers, distributed

computing environments that include any of the above systems or devices, and the like.

The invention may be described in the general context of computer-executable instructions, such as program modules, 5 being executed by a computer. Generally, program modules include routines, programs, objects, components, data structures, and so forth, which perform particular tasks or implement particular abstract data types. The invention may also be practiced in distributed computing environments where 10 tasks are performed by remote processing devices that are linked through a communications network. In a distributed computing environment, program modules may be located in both local and remote computer storage media including memory storage devices.

15 With reference to Figure 1, an exemplary system for implementing the invention includes a general-purpose computing device in the form of a computer 110. Components of the computer 110 may include, but are not limited to, a processing unit 120, a system memory 130, and a system bus 121 20 that couples various system components including the system memory to the processing unit 120. The system bus 121 may be any of several types of bus structures including a memory bus

or memory controller, a peripheral bus, and a local bus using any of a variety of bus architectures. By way of example, and not limitation, such architectures include Industry Standard Architecture (ISA) bus, Micro Channel Architecture (MCA) bus,

- 5 Enhanced ISA (EISA) bus, Video Electronics Standards Association (VESA) local bus, and Peripheral Component Interconnect (PCI) bus also known as Mezzanine bus.

Computer 110 typically includes a variety of computer-readable media. Computer-readable media can be any available media that can be accessed by the computer 110 and includes both volatile and nonvolatile media, and removable and non-removable media. By way of example, and not limitation, computer-readable media may comprise computer storage media and communication media. Computer storage media includes both volatile and nonvolatile, removable and non-removable media implemented in any method or technology for storage of information such as computer-readable instructions, data structures, program modules, or other data. Computer storage media includes, but is not limited to, RAM, ROM, EEPROM, flash memory or other memory technology, CD-ROM, digital versatile disks (DVD) or other optical disk storage, magnetic cassettes, magnetic tape, magnetic disk storage or other magnetic storage

devices, or any other medium which can be used to store the desired information and which can accessed by the computer

110. Communication media typically embodies computer-readable instructions, data structures, program modules, or other data

5 in a modulated data signal such as a carrier wave or other transport mechanism and includes any information delivery media. The term "modulated data signal" means a signal that has one or more of its characteristics set or changed in such a manner as to encode information in the signal. By way of

10 example, and not limitation, communication media includes wired media such as a wired network or direct-wired connection, and wireless media such as acoustic, RF, infrared and other wireless media. Combinations of the any of the above should also be included within the scope of computer-

15 readable media.

The system memory 130 includes computer storage media in the form of volatile and/or nonvolatile memory such as read only memory (ROM) 131 and random access memory (RAM) 132. A basic input/output system 133 (BIOS), containing the basic

20 routines that help to transfer information between elements within computer 110, such as during start-up, is typically stored in ROM 131. RAM 132 typically contains data and/or

program modules that are immediately accessible to and/or presently being operated on by processing unit 120. By way of example, and not limitation, Figure 1 illustrates operating system 134, application programs 135, other program modules 5 136, and program data 137.

The computer 110 may also include other removable/non-removable, volatile/nonvolatile computer storage media. By way of example only, Figure 1 illustrates a hard disk drive 140 that reads from or writes to non-removable, nonvolatile 10 magnetic media, a magnetic disk drive 151 that reads from or writes to a removable, nonvolatile magnetic disk 152, and an optical disk drive 155 that reads from or writes to a removable, nonvolatile optical disk 156 such as a CD ROM or other optical media. Other removable/non-removable, 15 volatile/nonvolatile computer storage media that can be used in the exemplary operating environment include, but are not limited to, magnetic tape cassettes, flash memory cards, digital versatile disks, digital video tape, solid state RAM, solid state ROM, and the like. The hard disk drive 141 is 20 typically connected to the system bus 121 through a non-removable memory interface such as interface 140, and magnetic disk drive 151 and optical disk drive 155 are typically

connected to the system bus 121 by a removable memory interface, such as interface 150.

The drives and their associated computer storage media, discussed above and illustrated in Figure 1, provide storage of computer-readable instructions, data structures, program modules, and other data for the computer 110. In Figure 1, for example, hard disk drive 141 is illustrated as storing operating system 144, application programs 145, other program modules 146, and program data 147. Note that these components can either be the same as or different from operating system 134, application programs 135, other program modules 136, and program data 137. Operating system 144, application programs 145, other program modules 146, and program data 147 are given different numbers herein to illustrate that, at a minimum, they are different copies. A user may enter commands and information into the computer 20 through input devices such as a keyboard 162 and pointing device 161, commonly referred to as a mouse, trackball or touch pad. Other input devices (not shown) may include a microphone, joystick, game pad, satellite dish, scanner, a touch-sensitive screen of an handheld PC or other writing tablet, or the like. These and other input devices are often connected to the processing unit 120 through

a user input interface 160 that is coupled to the system bus, but may be connected by other interface and bus structures, such as a parallel port, game port or a universal serial bus (USB). A monitor 191 or other type of display device is also 5 connected to the system bus 121 via an interface, such as a video interface 190. In addition to the monitor, computers may also include other peripheral output devices such as speakers 197 and printer 196, which may be connected through an output peripheral interface 190.

10       The computer 110 may operate in a networked environment using logical connections to one or more remote computers, such as a remote computer 180. The remote computer 180 may be a personal computer, a server, a router, a network PC, a peer device or other common network node, and typically includes 15 many or all of the elements described above relative to the computer 110, although only a memory storage device 181 has been illustrated in Figure 1. The logical connections depicted in Figure 1 include a local area network (LAN) 171 and a wide area network (WAN) 173, but may also include other 20 networks. Such networking environments are commonplace in offices, enterprise-wide computer networks, intranets and the Internet.

When used in a LAN networking environment, the computer 110 is connected to the LAN 171 through a network interface or adapter 170. When used in a WAN networking environment, the computer 110 typically includes a modem 172 or other means for establishing communications over the WAN 173, such as the Internet. The modem 172, which may be internal or external, may be connected to the system bus 121 via the user input interface 160 or other appropriate mechanism. In a networked environment, program modules depicted relative to the computer 110, or portions thereof, may be stored in the remote memory storage device. By way of example, and not limitation, Figure 1 illustrates remote application programs 185 as residing on memory device 181. It will be appreciated that the network connections shown are exemplary and other means of establishing a communications link between the computers may be used.

EXEMPLARY SYSTEMS FOR ACCESSING A FILE

FIG. 2 is a block diagram representing a system in which a client accesses a file on a server through two communication channels in accordance with an aspect of the invention. A client 205 establishes a first connection with a server 210

through a channel 220. The client 205 authenticates itself and opens a file 215 by sending a file access request through the channel 220. The server 210 determines whether the client 205 is authentic and whether the client 205 has permission to 5 access the file 215 in the manner requested. If so, the server 210 indicates to the client 205 that the file 215 is opened.

The client 205 may then query the server 210 for a resume key for the opened file. In some embodiments of the 10 invention, the resume key is automatically returned without a separate query from the client 205 (e.g., when the server 210 indicates to the client 205 that the file 215 is opened).

The client 205 may then use the resume key on one or more other channels to obtain one or more other handles to the same 15 opened file. Each other handle obtained in this way allows the same accesses to the file as the first handle.

It should be understood that obtaining a handle to the same open is different than opening the file via another channel and obtaining another handle to the file. For 20 example, a client might obtain a handle to an open file and obtain a byte-range lock on the first 2,000 bytes of the file. If the same or another client attempts to open the same file

and obtains another handle to the file and then attempts to modify bytes within the first 2,000 bytes of the file using the other handle, under normal file access mechanisms, the server would deny the client's request.

5       A client that obtains another handle to the open, however, can modify the first 2,000 bytes with the other handle. This is because the server treats accesses to the file through these special handles identically.

To keep the resume key secure, the resume key may be  
10 encrypted via a session key. After encrypting the resume key via a session key, the server 210 may then send the resume key to the client 205 via the first channel 220. Thereafter, to obtain other handles to the same open, the client 205 may sign the resume key and send it to the server 210. The server 210  
15 may then verify the authenticity of the resume key and provide a duplicate handle to the open if appropriate.

To avoid replay of a resume key, several steps may be taken. Typically, a client receives a resume key through a first connection (e.g., the connection with which the client  
20 first opens the file). The first connection is associated with a session key. The client may wish to use the resume key to obtain a handle to the same open through a second

connection. The second connection is associated with another session key. In conjunction with establishing the second connection, the client and/or server may each authenticate each other. To obtain the handle through the second

5 connection, the client may sign the resume key with the session key associated with the first connection and encrypt the signed resume key using the session key associated with the second connection. The client may then send the encrypted and signed resume key through the second connection. The

10 server then decrypts to obtain the signed key and validates the signature.

Alternatively, the client may sign the resume key with the session key associated with the first connection, re-sign the signed resume key with the session key associated with the

15 second connection, and overwrite the old signature with the new signature. The client then sends the re-signed resume key through the second connection to the server. The server may then take the same steps the client did to verify that the signatures match.

20 In other environments, the resume key need not be encrypted or protected. For example, the resume key may be passed over a private network. In such cases, the resume key

may be passed in plain text. It will be recognized that any mechanism or method for keeping the resume key secure (or not secure for that matter) may also be used without departing from the spirit or scope of the present invention.

5       The client 205 may give the resume key and any session key to one or more other clients (not shown). These other clients may then use the session key and resume key to obtain duplicate handles to the same open and access the file as if they had the first handle to the file.

10       The client 205 may include a cache that caches data sent to and returned from the file 215 on the server 210. File caching on the client 205 may be turned off whenever the client 205 establishes more than one handle to the same open. This may be done to speed reads and writes to the file, for  
15       cache consistency, or for other reasons depending on the application. In other embodiments, the client 205's cache manager (not shown) may cache data sent to and returned from a file on the server 210, even if the data is sent and received on more than one channel.

20       The client 205 may take advantage of remote direct memory access (RDMA) to speed access over one or more channels that access a single open. For example, the client 205 may

establish a channel to access the file 215 using an RDMA channel. This may allow, among other things, direct transfer of data from the memory on one computer to another computer without processing by the CPU or operating system.

5       The channels 220 and 225 may be established over the same or different network interfaces. For example, one interface may be particularly suited for fast reads and writes while another interface may be more suited for performing other types of file accesses (e.g., opening, closing, and changing 10 access modes for a file). In addition, the client 205 and the server 210 may both reside on the same computing device.

FIG. 3 is a block diagram representing a system in which a client accesses a file on a server through two channels and in which the client and the server reside on the same machine 15 in accordance with an aspect of the invention. A client 205 may use a network redirector to retrieve files. The network redirector may determine whether the file resides locally or remotely. If the file resides locally, the network director may send the file access request through a TCP/IP loopback 20 path. The TCP/IP loopback path makes the request appear to go onto the network. It also makes the request appear to the server 210 to have come from the network. It will be

recognized that this may be used, for example, to shield the  
redirector 330 from having to be aware of whether the file  
resides locally or remotely as both remote and local files are  
accessed through the same mechanism. It further simplifies  
5 the network redirector 330 as it can simply rely on the server  
210 to determine whether the client 205 should be given the  
access rights it seeks. That is, by sending the request to  
the server 210, instead of trying to access the file directly,  
the network redirector 330 does not need to be aware of file  
10 access policies that may apply to the client 205's access to  
the file 215 as the server 210 does this.

After requesting access to the file 215, the network  
redirector 330 may then query the server 210 for a resume key  
for the file open for the file 215. For subsequent file  
15 access requests to the file 215, the network redirector 330  
may use a channel 225 to access the file directly instead of  
going through the channel 220. As loopback paths can be quite  
slow (compared to direct access), this can speed file access  
considerably while still allowing the server 210 to determine  
20 whether the client 205 should be allowed the access it seeks  
with respect to the file 215.

The resume key may also be used to persist accesses to a file even if network connections between the client and server are temporarily disrupted. After a client obtains a resume key, the client can use the resume key to access the file 5 through another channel. Should a first channel become unavailable (or disconnected), a client may use the resume key to establish another channel to the server to obtain access to the file.

The server may be configured to keep the file open to the 10 client for a fixed or selectable amount of time or until another client requests access to the file. If the client does not access the file in the set amount of time and the server receives a request for access to the file from another client, the server may then close the file. If the client 15 accesses the file (using the resume key) before the time expires, the server may assure or guarantee to the client that the file has not been changed since the client last accessed it. If the time has expired but no other changes have occurred to the file (e.g., another client did not request a 20 change to the file), the server may inform the client that nothing has changed with the file since the client last opened the file. If the time expired and the file was changed by

another client, the server may then tell the client that the  
file has been changed. This, in essence, amounts to a  
persistent handle to an open file that is resilient to network  
disruptions. It will be recognized that this has many  
5 applications with respect to databases, as database  
applications strive to maintain consistency and do not deal  
well with network disruptions.

To deal with server crashes or otherwise, the server may  
store resume keys and information associated with opened files  
10 in both volatile and non-volatile memory. The information  
associated with opened files may include session keys,  
authentication information, the mode in which the files were  
opened, other state information, and the like. The resume  
keys and information in volatile memory (e.g., RAM) may be  
15 used to provide quick access to files and duplicate handles to  
clients sending proper resume keys. The resume keys and  
information stored in non-volatile memory (e.g., a hard disk)  
may be used to reconstruct the resume keys and information in  
volatile memory should the server crash.

20 If the server crashes and reboots, a process may be  
executed early in the boot process to read the resume keys and  
open any previously opened files associated with the resume

keys before any other entity can open the files. This may be done, for example, so as to guarantee to a client that uses a resume key to access an open, that the file has not been modified by another process. Using the information associated 5 with the opened files, the files may be opened in the state they were before the server crashed. As described previously, the server may keep each file open for a fixed or selectable amount of time depending of whether a client that has a resume key requests access to the file associated with the resume key 10 and/or whether another client requests access to the file.

A client may use one channel for encrypted data and another channel for unencrypted data. For example, when reading or writing data to a file, a client may use an encrypted channel. When reading attributes of a file, the 15 client may use an unencrypted channel.

STATE DIAGRAM OF A SYSTEM FOR ACCESSING A FILE

FIG. 4 is a block diagram representing states of one system operating in accordance with an aspect of the 20 invention. Before the states in the state diagram occur, several things happen on a client and server. The server is turned on and boots up. A file server component (i.e., SRV

409) in the kernel of the server is initialized and is ready  
to receive requests for file accesses. A component for  
receiving requests for handles to open files is initialized.  
This component is sometimes referred to as a light weight  
5 input/output server (LWIO Server) (e.g., LWIO Server 410).

For example, referring to FIG. 5, a server 505 boots up.  
A SRV 409 is initialized and ready to receive requests for  
accesses to files. A LWIO Server 410 is also initialized and  
ready to receive requests.

10 After SRV 409 and the LWIO server 410 are initialized and  
ready to receive requests, the LWIO server 410 registers a  
data blob with SRV 409. When the LWIO server 410 registers  
with the SRV 409, this indicates to the SRV 409 that the LWIO  
server 410 exists and that clients may begin requesting resume  
15 keys. The SRV 409 stores the data blob in a storage medium  
accessible to SRV 409. When the client requests a resume key,  
the data blob that the server 410 registers with the SRV 409  
will be conveyed to the client together with the resume key.  
The data blob may include any data in any format without  
20 departing from the spirit or scope of the invention. The data  
blob may include, for example, the time at which the server

was booted, network capabilities, what kinds of services the server supports, or any other data.

For example, referring to FIG. 5, the LWIO server 410 registers a data blob 510 with the SRV 409. The SRV 409 5 stores the data blob on a storage medium accessible by the SRV 409.

At transition 401, via a redirector, a client 415 sends an open file request to the SRV 409. The SRV 409 opens the requested file and returns a file ID (FID), a resume key that 10 it generates, the data blob registered in the first step by the LWIO Server 410, and challenge data to the redirector. The FID is used by the redirector to issue file I/O requests to the SRV 409 for the file it opened on behalf of the client 415. The resume key is unique to the file just opened. It 15 may be stored in a table or other data structure accessible to the SRV 409 for easy access. The challenge data is used as part of the authentication step by the client 415.

For example, referring to FIG. 5, a client application 520 sends an open file request via a redirector 525. The open 20 file request is sent to the SRV 409. The SRV 409 opens the requested file and prepares to returns the information including the data blob 510.

At transition 402, the SRV 409 returns a file ID. The client 415 then receives a file handle from the redirector indicating the open was successful and queries the redirector for the data blob, server resume key, and challenge data that 5 the SRV 409 returned. At this point, the client can interpret the data blob and contact the LWIO server 410.

For example, referring to FIG. 5, the SRV 409 returns the FID and other information. The client application 520 receives the information and may interpret the data in the 10 data blob 510 in order to contact the LWIO Server 410.

At transition 403, the client 415 opens a connection to the LWIO Server 410. The client 415 sends the LWIO Server 410 the server resume key, signed challenge data, and its own challenge response data in a registration request to get a FID 15 for the new connection. For example, referring to FIG. 5, the client application 520 sends the data to the LWIO Server 410 via the LWIO client 530.

At transition 404, the LWIO Server 410 sends the server resume key, signed challenge data, and challenge response data 20 to the SRV 409. The SRV 409 locates file information using the resume key and validates the signed data. The SRV 409 then duplicates the original file handle used to open the

file. For example, referring to FIG. 5, the LWIO server 410 sends the information received from the LWIO client 530 to the SRV 409.

At transition 405, the SRV 409 returns the duplicated  
5 file handle together with signed challenge response data to the LWIO Server 410. At this time there are two separate processes (i.e., the LWIO server 410 and the SRV 409) that share a common resource (file object) and the LWIO Server 410 has authenticated the client 415. For example, referring to  
10 FIG. 5, the SRV 409 returns the duplicated file handle and signed challenge response data to the LWIO Server 410.

At transition 406, the LWIO server 410, returns a FID for the duplicated file handle and signed challenge response data to the client 415. For example, referring to FIG. 5, the LWIO  
15 Server 410 returns the information to the LWIO client 530, which passes it to the client application 520.

At transition 407, the client 415 validates the signed challenge response to authenticate the LWIO Server 410. For example, referring to FIG. 5, the client application 520  
20 authenticates the LWIO server 410. At this point, client application 520 may use either connection to access the opened file on the SRV 409.

Any requests to be sent over the new connection are intercepted by the LWIO client 530 and sent to LWIO server 410 together with the resume key and signing that authenticates the client. The LWIO server 410 then uses the data to 5 authenticate the client and the resume key sent from the LWIO client 530 to access the file and read or write data.

The client may use either connection to access the file. Accesses over both connections may take place simultaneously.

In some embodiments of the invention, the client 415 10 requests the resume key in a query separate from the initial opening of the file. In other embodiments of the invention, the resume key is automatically sent to the client 415 whenever a client opens a file.

15 DATA STRUCTURE OF AN EXEMPLARY RESUME KEY

FIG. 6 is a block diagram representing a data structure that may be used for the resume key according to an aspect of the invention. An ID field 610 includes information that is used to locate a particular open file in a server's internal 20 table. The server's internal table indicates the files the server has open.

A time stamp field 615 includes information that indicates when the file was opened. This may be used, for example, to limit the time that the server will keep a file opened if no requests to access the file are received (e.g., 5 to avoid having a file locked by a process that has crashed).

A process ID field 620 includes information that identifies the process that opened the file. The process ID field 620 may be used to identify byte-range locks and properties across processes. The information in the resume 10 key may be used to index other state information regarding an open. Each of the fields in the resume key 605 may be sixty-four bytes or any other convenient length.

A client should treat the resume key 605 as an opaque block. That is, the client should not rely on any information 15 found in the resume key 605. This should be done so that the resume key 605 may be changed for server convenience or otherwise without changing code on the client.

#### OTHER SYSTEMS FOR ACCESSING A FILE

20 FIG. 7 is a block diagram representing a system configured to operate in a server cluster environment in accordance with an aspect of the invention. Servers 705 and

706 may be arranged in a cluster. In this arrangement, they share a disk 720. Only one of the servers 705 and 706 may own (i.e., control) the disk 720 at a time. In a failover scenario, the disk 720 becomes owned by the server that did 5 not fail.

A scenario in which one of the servers crashes and the other server takes over is illustrative in describing how an aspect of the invention can persist resume keys even through a failover. In this scenario, the client 725 sends a request to 10 access a file 730 to the cluster. Through a server component (i.e., a SRV 710) the active server (i.e., the server 705) requests that the file 730 be opened on the disk 720. The client 725 requests a resume key for the open to the file 730. Together with returning the resume key to the client 725, the 15 resume key is also stored in a table on the server 705 (i.e., a table 716) and a table on the disk 720 (i.e., a table 718). Then, the server 705 crashes.

When the server 705 crashes, the server 706 takes ownership of the disk 720. The server 706 then rebuilds a 20 resume key table 716 from the table 718 stored on the disk 720. The client 725 tries to establish a connection to access the file 730. Typically, unknown to the client 725, this

connection is established through the server 706. The client 725 sends the resume key through the connection to the server 706, which is then able to use the table 717 to access the file 730.

5       The server 706 may include a service (not shown) that takes certain actions when the server 705 crashes. For example, as soon as the server 705 crashes and the server 706 takes over ownership of the disk 720, the service may read the resume key table 718 on the disk 720 and open any opened files  
10      before any other entity can open the files. This may be done, for example, so as to guarantee to a client that uses a resume key to access an open, that the file has not been modified by another process. In addition, other state information may be stored on the disk 720, such as locks and other state  
15      information regarding the file 730. In one embodiment, the service may obtain this information from the disk 720 and restore state information regarding opened files on the server 706. In another embodiment of the invention, when the file 730 has certain kinds of state information (e.g., the file 730  
20      was opened with a lock), instead of attempting to restore the state information, for simplicity, the service may indicate

that the resume key can no longer be used to obtain a duplicate handle to the file 730.

FIG. 8 is a block diagram representing a system configured to operate in a distributed file system environment 5 in accordance with an aspect of the invention. Servers 805 and 806 are similar to server 705 and 706 of FIG. 7. Each of the servers 805 and 806, however, has its own separate storage (i.e., one of disks 820 and 821). In one aspect of the invention, the disks 820 and 821 are read-only for clients 10 that are trying to access information on the disks. In addition, the disks 820 and 821 may include the same data. For example, a company may wish to provide fast access to the company's web site from various locations around the world. To do this, the company may set up servers around the world 15 each with its own disk that has data and programs from which the company's web site can be constructed. Then, clients that wish to view pages of the company's web site may be directed to a particular server depending on which server can best serve each client's request. A file replication system may be 20 used to distribute the content to the servers.

When a client 825 wishes to access a file, the client 825 may first ask a distributed file system server (not shown)

which server the client should request the file from. Assume,  
for example, that the distributed file system server told the  
client 825 that it could access the file from the server 805.  
The client 825 then requests access to the file from the  
5 server 805. Through a server component (i.e., a SRV 810) the  
server 805 requests that the file 830 be opened on disk 820.  
The client 825 then requests (or is automatically given) the  
resume key for the file 830.

A system administrator may decide that the server 805  
10 should be shut down for administrative reasons. Upon  
notification that it will shortly be shut down, the server 805  
may begin migrating resume keys for its open files to the  
server 806. When the client 825 requests a handle to an open  
using a resume key that has been migrated to the server 806,  
15 the server 805 may inform the client 825 that the client 825  
can access the file at the server 806. The client 825 may  
then open a connection with the server 806, send the resume  
key, and obtain a handle to an open for a file 831 that  
corresponds to the file 830.

20

FLOWCHART

FIG. 9 is a dataflow diagram that generally represents exemplary steps that may occur when using a resuming key, in accordance with aspects of the present invention. The process begins at block 905. At block 910, a first client opens a file object using a first connection. At block 915, the client requests and receives the resume key. At block 920, the first connection is broken. This may occur because of a network disruption or otherwise. At block 925, the server waits for a period of time. If the resume key is received before a time has expired or before another client has requested access to the file (blocks 930, 940, and 945), processing continues at block 935. If the resume key has not been received, processing continues at block 940. At block 940, if the time has expired, processing continues at block 945; otherwise, the server waits for a period of time (block 925). At block 945, if another client is not requesting access to the file, processing continues at block 925.

Note that blocks 930, 940, and 945 may be executed in any order and may be triggered by an event (e.g., the server receives a request from another client that is requesting access to the file, the server receives the resume key, or some other event).

At block 945, if the time has expired (which it has if this block has been reached) and another client is requesting access to the file, processing continues at block 950. At block 950, the file open is closed and the other client is 5 allowed access to the file. At block 955, if the first client attempts to access the open file using the resume key, the first client is informed that the file is no longer accessible through the resume key and that the file has been accessed by another client, which may have changed the file.

10 If the resume key is received either 1) before the time has expired or 2) before another client requests access to the file, processing branches from block 930 to block 935. At block 935, the client is informed either explicitly (e.g., through a message) or implicitly (e.g., by allowing access 15 through the resume key) that the file has not been changed by another client. At block 960, file access is resumed through the second connection from the first client. At block 965, processing ends. This process may be executed each time a resume key is returned by a server.

20 As can be seen from the foregoing detailed description, there is provided an improved method and system for accessing files. While the invention is susceptible to various

modifications and alternative constructions, certain  
illustrated embodiments thereof are shown in the drawings and  
have been described above in detail. It should be understood,  
however, that there is no intention to limit the invention to  
5 the specific forms disclosed, but on the contrary, the  
intention is to cover all modifications, alternative  
constructions, and equivalents falling within the spirit and  
scope of the invention.